

# BUDGET NARRATIVE

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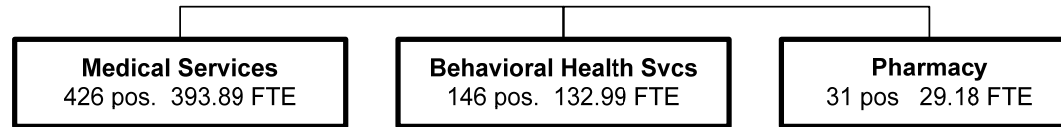
## Health Services

### Program Description

## OREGON DEPARTMENT OF CORRECTIONS

### Health Services

Current 2009-11 Organizational Chart



**Total Positions: 603**

**FTE: 556.06**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Health Services Organizational Chart 2011-13 Agency Request Budget



**Total Positions: 677**  
**FTE: 631.41**

# BUDGET NARRATIVE

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## Health Services

The legal framework for prison health care was established in the 1976 landmark decision of *Estelle v. Gamble*. In the hundreds of published cases following *Estelle*, three basic rights have emerged: the right to access to care, the right to care that is ordered, and the right to a professional medical judgment. The failure of correctional officials to honor these rights has resulted in protracted litigation, the awarding of damages and attorneys' fees, and the issuance of injunctions regarding the delivery of health care services.

The Department of Corrections (DOC) Health Services Section uses a managed care model which is the least cost health care method of service delivery and provides as much medical care on-site as it appropriately and economically can. To accomplish this task effectively and efficiently the department uses a managed care model that stresses a limited standardized benefit package, on-site primary care with early intervention and prevention, coordinated care and management of diseases, controlled access to specialists and specialist procedures, restricted pharmacy medication formulary, controlled utilization, utilization review, and claims review.

Health Services provides services to all DOC institutions:

- Oregon State Penitentiary (OSP), Salem
- Oregon Women's Correctional Center (OWCC), Salem (Formerly OSP Minimum, a male facility)
- Oregon State Correctional Institution (OSCI), Salem
- Mill Creek Correctional Facility (MCCF), Salem
- Santiam Correctional Institution (SCI), Salem
- Columbia River Correctional Institution (CRCI), Portland
- South Fork Forest Camp (SFFC), Tillamook
- Shutter Creek Correctional Institution (SCCI), North Bend
- Eastern Oregon Correctional Institution (EOCI), Pendleton
- Coffee Creek Correctional Facility (CCCF), Wilsonville
- Powder River Correctional Facility (PRCF), Baker City
- Snake River Correctional Institution (SRCI), Ontario
- Two Rivers Correctional Institution (TRCI), Umatilla
- Warner Creek Correctional Facility (WCCF), Lakeview
- Deer Ridge Correctional Institution (DRCI), Madras

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The State is required to ensure that inmates have unimpeded access to health care; that their health complaints are evaluated by a qualified health care professional, and; that care and treatment is provided as prescribed and is consistent with community standards. Primary care services are generally delivered onsite and inmates may be moved from institution to institution based on the range of services available at any given location. While DOC is organized to treat the vast majority of all medical encounters onsite and basic health care services are provided at every location, occasionally patients need to see community providers based on the severity of their conditions.

DOC maintains a team of professionals that includes Physicians, Nurse Practitioners, Physician Assistants, Registered Nurses, Dentists, Psychiatrists, Mental Health Specialists, Pharmacists, as well as other licensed professionals.

## **Major Challenges during the 2009-11 biennium**

The costs of delivering health care in DOC institutions are impacted by the same social and economic forces that are causing rapid increases in health care costs for employers and citizens in Oregon's communities and nationwide.

- Increasing costs of equipment, supplies and pharmaceuticals. Example: Newer more expensive medications or therapies replacing those previously accepted for the treatment of a disease or condition.
- Lack of sufficient infirmary beds in the Willamette Valley to manage cost of care most effectively.
- Changing medical community standards of care (CT, MRI, etc.).
- Cost to treat and/or inpatient hospital care (up 2.2% from 6.9% to 9.1% in the year July 09 through June 10) (Source: Health Inflation News, July 2010. DOC must continue to keep pace with changes in the medical field while trying to manage continually increasing costs.
- Staffing. The inmate population increasingly has more complex medical, dental, and mental health issues at intake, based on their risk-taking behaviors and lifestyles, histories of substance abuse, and a general lack of preventative healthcare.
- Delivering an ever increasing level of healthcare in facilities that were not designed for it when they were built and continue to age and deteriorate.

## **Program Objectives and Services**

The Health Services Section is organized to deliver least cost institution-based medical, dental, behavioral health, and pharmacy services. These services are constitutionally mandated and further defined and mandated by substantial federal and state case law.

## **New Laws Affecting the Program Unit**

Additional mandatory sentencing legislation was instituted by the voters in the fall of 2008 resulting in the passage of Ballot Measure 57 (BM57), which was suspended by the legislature and is due to be reinstated in January of 2012. As a result of BM57, mandatory sentences ranging from 14 months to three years will be enforced for drug and property crimes, which were often handled with

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probation in the past. Once fully implemented this change will significantly affect the growth of Oregon's prison population which taxes Health Services ability to provide adequate services safely in aging clinics that were not designed for this kind of volume.

## Division Accomplishments – 2009-2011

### Medical Services

- Continued meeting national medical standards and correctional medical standards
  - Ongoing monitoring, fulfilling of standards, and earning accreditation by the National Commission on Correctional Health Care.
  - Ongoing reviews of national evidence based medical recommendations of disease management, with ongoing updates of DOC guidelines and providing education to standards.
- Continued a Managed Care medical model with ongoing utilization reviews of both on-site and off-site services for necessity, quality, and efficiency.
  - Ongoing reviews of on-site services for medical necessity.
  - Prior authorization and utilization reviews for off-site care. Review and data has improved since July 2009.
  - Review of off-site services that could be provided on-site to further reduce costs. This biennium DOC improved efficiency by bringing the following services on-site:
    - Specialty Cardiology clinic in Salem for all institution use.
    - Increased on-site dialysis capacity to 28 patients, currently serving 20.
    - State-wide on-site optometry
    - Added on-site surgeon visits at CCCF

### Fiscal

- Significantly reduced paid versus billed charges while at the same time improved network access for offsite care. Through a change in Third Party Claims Administration, Health Services was able to realize savings on a per claim basis of approximately 10%. We were able to increase the historical average discount of billed charges from 24% to 34%, while at the same time better managing bed days for offsite care and improving our network of discounted providers.

### Behavioral Health Services

- Mental Health Housing/Suicide Prevention. The 2010 audit of Behavioral Health Services focused on recommendations from the 2004 Managing Mental Health in Prison Task Force and the 2005 Hayes Oregon Department of Corrections Suicide Prevention Report. The growing population (6,900 inmates with diagnosed mental illness - 8/15/10), heightened acuity and increased needs of

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mentally ill inmates in this budget climate present a challenge for delivery of safe, effective, evidence based care. Significant efficiencies, safety measures, and housing changes have been successfully implemented.

- Mental Health Housing: Repurposed inmate beds to provide a broader level of care system for mentally and behaviorally challenged inmates. This resulted in a total of 900 Mental Health beds, a 367 bed increase in Mental Health beds statewide with the largest concentration in the western region where mental health providers are more readily available.
  - 59 Mental Health Infirmary beds (highest need/crisis)
  - 205 Day Treatment beds (severe need)
  - 571 Mental Health beds (moderate need)
  - 65 Behavioral Housing beds (highest need/behavior)

## Key Performance Measures

The Health Services Key Performance Measure is related to the following agency goals:

- To ensure that inmates in the system receive community standard health care.
- Operate a safe, civil, and productive organization.

The Key Performance Measure for Health Services is as follows:

- 99% of all medical encounters occur onsite.

## Agency Request Budget

### Staffing

Positions	677
FTE	631.41

### Revenue Sources

General Fund	\$239,163,522
Other Funds	520,070

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## Medical Services

Federal law mandates that every state provide basic medical services to inmates. The goal of Medical Services is to provide essential services that yield the best return on the state's investment in health care. The obligations of the state for health care are to ensure that inmates have unimpeded access to health care, that their health concerns are evaluated by a qualified professional, and that care and treatment is provided as prescribed and is consistent with community standards. State and federal courts have defined acceptable levels of health care in Estelle v. Gamble, (1976) and Capps v. Atiyeh, 1982). As these definitions and the associated expectations for care continue to evolve with the addition of new case law, Medical Services adapts to the needed changes.

Basic medical care includes primary care and some specialty medical care, infirmary care, renal dialysis, and licensed hospice.

## Agency Request Budget

### Staffing

Positions	406
FTE	375.67

### Revenue Sources

General Fund	\$151,437,799
Other Funds	520,070
Federal Funds	6,001,048

## Dental Services

Within Health Services the Dental Services Section is responsible for a comprehensive oral care program. Inmates are assessed at intake and care plans are developed for those that need immediate follow up dental care. Much like Medical Services, basic dental visits, by and large, happen on site with dental staffing supplemented by contracted community endodontic specialists where needed. Current volume of needed dental services is more than was anticipated when many of our older facilities were built which is creating some logistics challenges for the dental section today. The Dental Section functions under the same state and federal court benchmarks of acceptable levels of health care defined in Estelle v. Gamble, (1976) and Capps v. Atiyeh, 1982). As these definitions and the associated expectations for care continue to evolve, Dental Services does everything in its power to adapt to the needed changes.

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## Agency Request Budget

### Staffing

Positions	71
FTE	64.51

### Revenue Sources

General Fund	\$15,387,536
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## Behavioral Health Services

Within Health Services, the Behavioral Health Services section provides a range of needed assessment and treatment for inmates who are mentally ill and/or developmentally disabled. Transition planning for the mentally ill and developmentally disabled inmates to assist with continuity of care is provided prior to release. National and Oregon-based research has shown that these services have a major impact on improving inmate functioning during incarceration. (Managing Mental Illness in Prison, 2004, Brazelon Center for Mental Health Law – Building Bridges)

The department's mentally ill inmate identification and tracking system began in 1996. At that time, 13.6% of the inmate population (1,050 individuals) was identified as having a severe and highest need mental illness. At the end of June 2010, using the same identification criteria, 6,902 inmates (49%) had a diagnosed mental illness, 2,228 inmates (16%) of the inmates were identified with severe mental health needs, and 999 inmates (7%) were identified with the highest mental health needs. This population requires a high level of treatment, supervision and risk management.

Mental health professionals provide comprehensive mental health assessments to determine diagnosis, acuity, and treatment needs. They then monitor the status of the identified mentally ill inmates, provide case management services, develop risk management, treatment and behavior management plans, assess for suicide/self-harm, provide crisis management and intervention for all inmates, make specific program and housing referrals as needed, and participate in the development of release plans.

Mental health professionals also participate in multi-disciplinary and other management and treatment team meetings, provide mental health and suicide prevention training, and provide regular consultation with other correctional staff throughout the prison facility. This outreach availability within institutions allows early intervention to minimize the cost of care and the personal deterioration caused by mental illness. Studies of the impact on inmate change from treatment show that Oregon prison mental health programs reduce the

# BUDGET NARRATIVE

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frequency and severity of psychiatric symptoms, improve needed life skills, reduce institution disciplinary problems, and enable these inmates to participate in work and education programs. Both the total numbers and percentage of inmates with a significant mental health diagnosis within the total inmate population have increased markedly over the past decade.

## **Developmental Disability Services**

Inmates with significant intellectual impairments (approximately 2.3% of the population at this time) are identified at intake and monitored throughout their incarceration. These inmates receive specialized training and skill building to help them function in the least restrictive environment, access education and work programs, and successfully transition to the community upon release.

## **Agency Request Budget**

### **Staffing**

Positions	166
FTE	159.44

### **Revenue Source**

General Fund	\$43,057,038
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## **Central Pharmacy & Medical Stores**

The department has centralized its pharmacy and medical supply operations to ensure maximum purchasing power and to improve cost effective delivery of care. While a component of the larger Health Services Section, the pharmacy operates autonomously. This “neutral” status allows the pharmacy to hold DOC Health Services practitioners accountable to the department’s pharmaceutical formulary. Independent status combined with consolidation of operations has paid dividends through operational efficiencies by controlling pharmaceutical costs through volume purchasing, patient education, therapeutic substitution, and utilization review.

## **Agency Request Budget**

### **Staffing**

Positions	34
FTE	31.79

# BUDGET NARRATIVE

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**Revenue Sources**

General Fund                    \$29,281,149

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## Health Services

### 010 Non-PICS Psnl Svc / Vacancy Factor

#### Package Description

##### Purpose

This essential package includes three components: 1) The cost of Personal Services adjustments, such as inflation on non-PICS accounts, i.e., unemployment compensation, overtime, differentials, and mass transit taxes, which are not automatically generated by the PICS system and are therefore budgeted here; 2) An adjustment for the anticipated savings associated with normal employee turnover, commonly referred to as vacancy savings, which is developed using a formula prescribed by the Department of Administrative Services (DAS) that considers both the savings and costs associated with normal turnover activity; 3) An adjustment to the PERS Pension Obligation Bond assessment, which is also developed by DAS.

##### How Achieved

Non-PICS Accounts – With the exception of Mass Transit, adjustment amounts are computed by multiplying the above referenced accounts in the 2011-13 Base Budget by the standard inflation factor of 2.4%. Added to those amounts is the additional value of Essential Budget Level Exception #291-02 approved by the Department of Administrative Services. This exception in the amount of \$23,060 General Fund includes security differentials for represented non-security staff that perform specific security functions.

Vacancy Savings – An estimate of the savings associated with vacancies and hiring delays is included in this package. Vacancy savings are computed using the formula and guidelines prescribed in the Budget and Legislative Concept Instructions and approved in advance by the Department of Administrative Services Budget and Management Division. In the Health Services Division, projected General Fund vacancy savings increased by \$13,310 from the 2009-11 budgeted levels.

PERS Pension Obligation Bonds – This package includes an increase of \$633,738 General Fund from 2009-11 budgeted levels for distribution to the Department of Administrative Services for Debt Service on Public Employee Retirement System Pension Obligation Bonds.

#### Agency Request Budget

#### Staffing Impact

None

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## Revenue Source

General Fund	\$771,849
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## 2013-15 Fiscal Impact

Actions approved in this package will have varying impacts on future periods. Normal inflation will be integrated into and become part of the Base Budget for 2013-15, as will the funding approved in the non-PICS exceptions. Vacancy savings are re-projected each biennium based on agency experience. The Pension Obligation Bond financing will be an ongoing liability for the agency.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	771,849	-	-	-	-	-	771,849
<b>Total Revenues</b>	<b>\$771,849</b>	-	-	-	-	-	<b>\$771,849</b>
<b>Personal Services</b>							
Overtime Payments	22,094	-	-	-	-	-	22,094
Shift Differential	17,896	-	-	-	-	-	17,896
All Other Differential	66,936	-	-	-	-	-	66,936
Public Employees' Retire Cont	20,834	-	-	-	-	-	20,834
Pension Bond Contribution	633,738	-	-	-	-	-	633,738
Social Security Taxes	8,181	-	-	-	-	-	8,181
Unemployment Assessments	507	-	-	-	-	-	507
Mass Transit Tax	14,973	-	-	-	-	-	14,973
Vacancy Savings	(13,310)	-	-	-	-	-	(13,310)
<b>Total Personal Services</b>	<b>\$771,849</b>	-	-	-	-	-	<b>\$771,849</b>
<b>Total Expenditures</b>							
Total Expenditures	771,849	-	-	-	-	-	771,849
<b>Total Expenditures</b>	<b>\$771,849</b>	-	-	-	-	-	<b>\$771,849</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

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# BUDGET NARRATIVE

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## Health Services

### 021 Phase-In

#### Package Description

##### Purpose

This package includes the additional costs associated with 24 month operation of programs or services phased-in during the prior biennium. The elimination of costs for programs that were terminated or phased-out during the prior biennium is reported in Package 022, as are budgets for extraordinary one-time expenditures in the prior biennium. Specific actions taken by this Division are described below.

##### How Achieved

This package provides the necessary funding for non-PICS Personal Services, Services & Supplies, Capital Outlay, and Special Payments accounts to provide for 24 month operation of units brought on-line during 2009-11. The package also includes the restoration of one-time unspecified reductions (including inflation) from 2009-11 Legislatively Adopted Budget (LAB) Package 849. The restoration of the budget reduction for the temporary suspension of Ballot Measure 57 is also included. Finally, this package includes the phased-in Services & Supplies costs associated with inmate population growth.

The additional funding for positions approved by the 2009-11 Legislature and phased-in during the biennium (after July 1, 2009) is budgeted in the Base Budget as part of the automated budget system process. Only the incremental cost for the above mentioned accounts is included in this package. Inflation for these additional costs is also included in this package at the factors prescribed by the Department of Administrative Services.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	\$6,022,164
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# BUDGET NARRATIVE

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## **2013-15 Fiscal Impact**

The actions included in this package will become part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 021 - Phase-in

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	6,022,164	-	-	-	-	-	6,022,164
<b>Total Revenues</b>	<b>\$6,022,164</b>	-	-	-	-	-	<b>\$6,022,164</b>
<b>Services &amp; Supplies</b>							
Instate Travel	45,994	-	-	-	-	-	45,994
Office Expenses	199,513	-	-	-	-	-	199,513
Data Processing	15,613	-	-	-	-	-	15,613
Medical Services and Supplies	5,542,819	-	-	-	-	-	5,542,819
Other Services and Supplies	35,551	-	-	-	-	-	35,551
Expendable Prop 250 - 5000	133,954	-	-	-	-	-	133,954
IT Expendable Property	3,837	-	-	-	-	-	3,837
<b>Total Services &amp; Supplies</b>	<b>\$5,977,281</b>	-	-	-	-	-	<b>\$5,977,281</b>
<b>Capital Outlay</b>							
Other Capital Outlay	44,883	-	-	-	-	-	44,883
<b>Total Capital Outlay</b>	<b>\$44,883</b>	-	-	-	-	-	<b>\$44,883</b>
<b>Total Expenditures</b>							
Total Expenditures	6,022,164	-	-	-	-	-	6,022,164
<b>Total Expenditures</b>	<b>\$6,022,164</b>	-	-	-	-	-	<b>\$6,022,164</b>

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 021 - Phase-in

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Health Services

### 022 Phase-out Pgm & One-time Costs

#### Package Description

##### Purpose

This package includes the elimination of costs for programs that were terminated or phased-out during the prior biennium. Extraordinary one-time expenditures are also adjusted in this package. Specific actions taken by this Division are described below.

##### How Achieved

Prior biennium one-time medical start-up equipment for the institutions is eliminated here.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund (\$422,301)

#### 2013-15 Fiscal Impact

The actions reflected in this package will not affect the 2013-15 budget since they address the elimination of one-time expenditures.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(422,301)	-	-	-	-	-	(422,301)
<b>Total Revenues</b>	<b>(\$422,301)</b>	-	-	-	-	-	<b>(\$422,301)</b>
<b>Services &amp; Supplies</b>							
Expendable Prop 250 - 5000	(241,251)	-	-	-	-	-	(241,251)
IT Expendable Property	(109,343)	-	-	-	-	-	(109,343)
<b>Total Services &amp; Supplies</b>	<b>(\$350,594)</b>	-	-	-	-	-	<b>(\$350,594)</b>
<b>Capital Outlay</b>							
Other Capital Outlay	(71,707)	-	-	-	-	-	(71,707)
<b>Total Capital Outlay</b>	<b>(\$71,707)</b>	-	-	-	-	-	<b>(\$71,707)</b>
<b>Total Expenditures</b>							
Total Expenditures	(422,301)	-	-	-	-	-	(422,301)
<b>Total Expenditures</b>	<b>(\$422,301)</b>	-	-	-	-	-	<b>(\$422,301)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

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## Health Services

### 031 Standard Inflation

#### Package Description

##### Purpose

This package includes funding for inflation and adjustments to reflect the Price List of Goods and Services issued by the Department of Administrative Services. The prescribed standard inflation factors were used for all accounts in this Division.

##### How Achieved

For 2011-13 the inflation factors are 2.4% for standard inflation and 4.4% for Rent. Inflation requested in this package is for the 2011-13 Base Budget. Inflation associated with biennialized phased-in programs, when applicable, is included in package #021. Inflation associated with new institution start-up and operation is included in the essential package for caseload, package #040.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$2,113,756
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##### 2013-15 Fiscal Impact

The actions included in this package will become a part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Health Services**  
**Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	2,113,756	-	-	-	-	-	2,113,756
<b>Total Revenues</b>	<b>\$2,113,756</b>	-	-	-	-	-	<b>\$2,113,756</b>
<b>Services &amp; Supplies</b>							
Instate Travel	12,011	-	-	-	-	-	12,011
Out of State Travel	52	-	-	-	-	-	52
Employee Training	2,439	-	-	-	-	-	2,439
Office Expenses	44,384	-	-	-	-	-	44,384
Data Processing	4,220	-	-	-	-	-	4,220
Employee Recruitment and Develop	1,844	-	-	-	-	-	1,844
Facilities Rental and Taxes	5,350	-	-	-	-	-	5,350
Fuels and Utilities	1,201	-	-	-	-	-	1,201
Facilities Maintenance	2,042	-	-	-	-	-	2,042
Medical Services and Supplies	2,018,976	-	-	-	-	-	2,018,976
Other Care of Residents and Patients	864	-	-	-	-	-	864
Other Services and Supplies	7,293	-	-	-	-	-	7,293
Expendable Prop 250 - 5000	7,496	-	-	-	-	-	7,496
IT Expendable Property	6,636	-	-	-	-	-	6,636
<b>Total Services &amp; Supplies</b>	<b>\$2,114,808</b>	-	-	-	-	-	<b>\$2,114,808</b>
<b>Capital Outlay</b>							
Other Capital Outlay	(1,052)	-	-	-	-	-	(1,052)
<b>Total Capital Outlay</b>	<b>(\$1,052)</b>	-	-	-	-	-	<b>(\$1,052)</b>

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	2,113,756	-	-	-	-	-	2,113,756
<b>Total Expenditures</b>	<b>\$2,113,756</b>	-	-	-	-	-	<b>\$2,113,756</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

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## Health Services

### 032 Above Standard Inflation

#### Package Description

##### Purpose

This package includes the amount above standard inflation as prescribed by the Department of Administrative Services. Approval by the Department of Administrative Services Budget and Management Division is required in order to use this package.

##### How Achieved

For 2011-13 the above standard inflation factor is 3.9% for Medical Services and Supplies. Medical inflation requested in this package is for the net increase of 1.5% which is the difference between the 2.4% standard inflation reflected in package 031 and the above standard inflation factor of 3.9% for medical services.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$1,261,858
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#### 2013-15 Fiscal Impact

The actions included in this package will become a part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	1,261,858	-	-	-	-	-	1,261,858
<b>Total Revenues</b>	<b>\$1,261,858</b>	-	-	-	-	-	<b>\$1,261,858</b>
<b>Services &amp; Supplies</b>							
Medical Services and Supplies	1,261,858	-	-	-	-	-	1,261,858
<b>Total Services &amp; Supplies</b>	<b>\$1,261,858</b>	-	-	-	-	-	<b>\$1,261,858</b>
<b>Total Expenditures</b>							
Total Expenditures	1,261,858	-	-	-	-	-	1,261,858
<b>Total Expenditures</b>	<b>\$1,261,858</b>	-	-	-	-	-	<b>\$1,261,858</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Health Services

### 033 Exceptional Inflation

#### Package Description

##### Purpose

This package includes the amount above, not including, standard and above standard inflation values. Approval of an exception request by the Department of Administrative Services is required in order to use this package.

##### How Achieved

For 2011-13 the Department of Administrative Services approved exception inflation factors for Medical Services & Supplies in the amounts of 15.6% for Medical Services, 14.6% for Behavioral Health, and 9.4% for Pharmacy. Medical inflation requested in this package is for the net increase of 11.7%, 10.7%, and 5.5% respectively, which is the difference between the total approved inflation factors listed above and the 2.4% standard inflation reflected in package 031 plus the above standard inflation factor of 1.5% reflected in package 032.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$8,175,165
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#### 2013-15 Fiscal Impact

The actions included in this package will become a part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 033 - Exceptional Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	8,175,165	-	-	-	-	-	8,175,165
<b>Total Revenues</b>	<b>\$8,175,165</b>	-	-	-	-	-	<b>\$8,175,165</b>
<b>Services &amp; Supplies</b>							
Medical Services and Supplies	8,175,165	-	-	-	-	-	8,175,165
<b>Total Services &amp; Supplies</b>	<b>\$8,175,165</b>	-	-	-	-	-	<b>\$8,175,165</b>
<b>Total Expenditures</b>							
Total Expenditures	8,175,165	-	-	-	-	-	8,175,165
<b>Total Expenditures</b>	<b>\$8,175,165</b>	-	-	-	-	-	<b>\$8,175,165</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Health Services

### 040 Mandated Caseload

#### Package Description

##### Purpose

Mandated caseload changes included in this package are based on caseload changes for programs that are required by the federal government, the state constitution, or court actions. Mandated caseload costs include but are not limited to the cost of the additional staff and operating costs required to operate these programs. The April 2010 Oregon Corrections Population Forecast, published by the Department of Administrative Services Office of Economic Analysis, is the basis for actions presented in this package.

##### How Achieved

In response to the April 2010 Population Forecast, temporary and emergency beds at Snake River Correctional Institution (SRCI), Eastern Oregon Correctional Institution (EOCI), Coffee Creek Correctional Facility (CCCF), and Powder River Correctional Facility (PRCF) will be phased-in during the 2011-13 biennium. Also included are the startup and operation costs associated with the opening of 225 medium custody beds and 128 high-custody and infirmary beds at DRCI. The Population Management Plan reflects continued use of temporary beds within the Department's facilities as needed to manage the growing prison population.

The Health Services Division will need to add adequate personnel and supplies in order to meet the medical needs of this larger population.

#### Agency Request Budget

##### Staffing Impact

Positions	40
FTE	19.03

##### Revenue Source

General Fund	\$4,293,727
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## BUDGET NARRATIVE

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### **2013-15 Fiscal Impact**

This package will have a significant impact on the 2013-15 budget, with beds phasing-in during 2011-13 needing to be funded for a full 24-month period in 2013-15. Base salary and Other Personnel Expenses for new staff will be automatically adjusted. Additional Services & Supplies and selected Personal Services accounts will be manually adjusted through Package 021. The additional operating costs will be offset somewhat by the elimination of one-time and startup supplies and equipment in Package 022.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 040 - Mandated Caseload**

**Cross Reference Name: Health Services**  
**Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	4,293,727	-	-	-	-	-	4,293,727
<b>Total Revenues</b>	<b>\$4,293,727</b>	-	-	-	-	-	<b>\$4,293,727</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	2,180,843	-	-	-	-	-	2,180,843
Overtime Payments	7,852	-	-	-	-	-	7,852
Shift Differential	30,960	-	-	-	-	-	30,960
All Other Differential	72,340	-	-	-	-	-	72,340
Empl. Rel. Bd. Assessments	888	-	-	-	-	-	888
Public Employees' Retire Cont	446,712	-	-	-	-	-	446,712
Social Security Taxes	175,338	-	-	-	-	-	175,338
Unemployment Assessments	4,126	-	-	-	-	-	4,126
Worker's Comp. Assess. (WCD)	1,289	-	-	-	-	-	1,289
Mass Transit Tax	10,289	-	-	-	-	-	10,289
Flexible Benefits	616,968	-	-	-	-	-	616,968
<b>Total Personal Services</b>	<b>\$3,547,605</b>	-	-	-	-	-	<b>\$3,547,605</b>
<b>Services &amp; Supplies</b>							
Instate Travel	28,374	-	-	-	-	-	28,374
Office Expenses	131,118	-	-	-	-	-	131,118
Data Processing	11,056	-	-	-	-	-	11,056
Medical Services and Supplies	294,660	-	-	-	-	-	294,660
Other Services and Supplies	21,904	-	-	-	-	-	21,904
Expendable Prop 250 - 5000	145,540	-	-	-	-	-	145,540

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 040 - Mandated Caseload

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Expendable Property	113,470	-	-	-	-	-	113,470
<b>Total Services &amp; Supplies</b>	<b>\$746,122</b>	-	-	-	-	-	<b>\$746,122</b>
<b>Total Expenditures</b>							
Total Expenditures	4,293,727	-	-	-	-	-	4,293,727
<b>Total Expenditures</b>	<b>\$4,293,727</b>	-	-	-	-	-	<b>\$4,293,727</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							40
<b>Total Positions</b>	-	-	-	-	-	-	<b>40</b>
<b>Total FTE</b>							
Total FTE							19.03
<b>Total FTE</b>	-	-	-	-	-	-	<b>19.03</b>

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PACKAGE: 040 - Mandated Caseload

POSITION NUMBER	CLASS	COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1100216	AAONC6531	AA	MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100217	AAONC6531	AA	MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100218	AAONC6255	AA	NURSE PRACTITIONER	1	1.00	24.00	02	6,251.00	150,024 70,913				150,024 70,913
1100219	MMS X7004	AA	PRINCIPAL EXECUTIVE/MANAGER C	1	1.00	24.00	02	4,238.00	101,712 57,801				101,712 57,801
1100220	AAONC0104	AA	OFFICE SPECIALIST 2	1	1.00	24.00	02	2,727.00	65,448 47,959				65,448 47,959
1100221	AAONC6531	AA	MENTAL HEALTH SPECIALIST	1	.33	8.00	02	3,911.00	31,288 18,558				31,288 18,558
1100222	MMS X7004	AA	PRINCIPAL EXECUTIVE/MANAGER C	1	.33	8.00	02	4,238.00	33,904 19,268				33,904 19,268
1100223	AAONC0104	AA	OFFICE SPECIALIST 2	1	.33	8.00	02	2,727.00	21,816 15,987				21,816 15,987
1100224	AAONC6531	AA	MENTAL HEALTH SPECIALIST	1	.08	2.00	02	3,911.00	7,822 4,639				7,822 4,639
1100225	AAONC6255	AA	NURSE PRACTITIONER	1	.08	2.00	02	6,251.00	12,502 5,909				12,502 5,909
1100226	AE U7510	AA	DENTIST	1	.50	12.00	02	10,934.00	131,208 65,806				131,208 65,806
1100227	AAONC6391	AA	DENTAL ASSISTANT	1	.50	12.00	02	2,727.00	32,724 39,077				32,724 39,077
1100228	AAONC6391	AA	DENTAL ASSISTANT	1	.25	6.00	02	2,727.00	16,362 11,990				16,362 11,990
1100229	AAONC6391	AA	DENTAL ASSISTANT	1	.25	6.00	02	2,727.00	16,362 11,990				16,362 11,990
1100230	AE U7510	AA	DENTIST	1	.13	3.00	02	10,934.00	32,802 16,451				32,802 16,451
1100231	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448

PACKAGE: 040 - Mandated Caseload

POSITION NUMBER	CLASS	COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1100232	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100233	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100234	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100235	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100236	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100237	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.30	7.20	02	4,798.00	34,546 9,476				34,546 9,476
1100238	MMS X6241	AA	NURSE MANAGER	1	1.00	24.00	02	6,565.00	157,560 72,957				157,560 72,957
1100239	AAONC6255	AA	NURSE PRACTITIONER	1	.60	14.40	02	6,251.00	90,014 54,626				90,014 54,626
1100240	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.33	8.00	02	4,798.00	38,384 20,483				38,384 20,483
1100241	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.23	5.60	02	4,798.00	26,869 17,359				26,869 17,359
1100242	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.25	6.00	02	4,798.00	28,788 15,362				28,788 15,362
1100243	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.25	6.00	02	4,798.00	28,788 15,362				28,788 15,362
1100244	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.25	6.00	02	4,798.00	28,788 15,362				28,788 15,362
1100245	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.25	6.00	02	4,798.00	28,788 15,362				28,788 15,362
1100246	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.25	6.00	02	4,798.00	28,788 15,362				28,788 15,362
1100247	AAONC6214	AA	INSTITUTION REGISTERED NURSE	1	.25	6.00	02	4,798.00	28,788 15,362				28,788 15,362

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 REPORT: PACKAGE FISCAL IMPACT REPORT  
 AGENCY:29100 DEPT OF CORRECTIONS  
 SUMMARY XREF:010-00-00 Health Services

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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PACKAGE: 040 - Mandated Caseload

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1100248	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	.20	4.80	02	4,798.00	23,030 13,800				23,030 13,800
1100249	AAONC6255 AA	NURSE PRACTITIONER	1	.25	6.00	02	6,251.00	37,506 17,728				37,506 17,728
1100250	AAONC6255 AA	NURSE PRACTITIONER	1	.05	1.20	02	6,251.00	7,501 2,061				7,501 2,061
1100251	AAONC0104 AA	OFFICE SPECIALIST 2	1	.25	6.00	02	2,727.00	16,362 11,990				16,362 11,990
1100252	AAONC6385 AA	PHARMACY TECHNICIAN 1	1	.15	3.60	02	2,424.00	8,726 9,918				8,726 9,918
1100253	AAONC6348 AA	RADIOLOGIC TECHNOLOGIST	1	.25	6.00	02	3,115.00	18,690 12,622				18,690 12,622
1100254	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	.08	2.00	02	4,798.00	9,596 5,120				9,596 5,120
1100255	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	.06	1.40	02	4,798.00	6,717 4,339				6,717 4,339
TOTAL PICS SALARY								2,180,843				2,180,843
TOTAL PICS OPE								1,211,029				1,211,029
TOTAL PICS PERSONAL SERVICES =			40	19.03	457.20			3,391,872				3,391,872

# BUDGET NARRATIVE

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## Health Services

### 060 Technical Adjustments

#### Package Description

##### Purpose

In general, this package is used to highlight adjustments to the agency budget that do not meet the criteria of other essential packages, but still are significant to the overall understanding and presentation of the agency budget.

##### How Achieved

A Federal Fund transfer of \$4,809,648 for the State Criminal Alien Assistance Program (SCAAP) from Operations (Snake River Correctional Institution, or SRCI) to Health Services was offset by a General Fund transfer from Health Services to SRCI for the same amount. In addition, Health Services Division was allocated (\$70,997) in General Fund for an agency-wide fleet reduction originally taken by the Administration Division. Both of these transfers are within DOC Divisions and net to zero for the department.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	(\$4,880,645)
Federal Funds	\$4,809,648

#### 2013-15 Fiscal Impact

The actions included in this package will become part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(4,880,645)	-	-	-	-	-	(4,880,645)
Federal Funds	-	-	-	4,809,648	-	-	4,809,648
<b>Total Revenues</b>	<b>(\$4,880,645)</b>	<b>-</b>	<b>-</b>	<b>\$4,809,648</b>	<b>-</b>	<b>-</b>	<b>(\$70,997)</b>
<b>Services &amp; Supplies</b>							
Instate Travel	(70,997)	-	-	-	-	-	(70,997)
Medical Services and Supplies	(4,809,648)	-	-	4,809,648	-	-	-
<b>Total Services &amp; Supplies</b>	<b>(\$4,880,645)</b>	<b>-</b>	<b>-</b>	<b>\$4,809,648</b>	<b>-</b>	<b>-</b>	<b>(\$70,997)</b>
<b>Total Expenditures</b>							
Total Expenditures	(4,880,645)	-	-	4,809,648	-	-	(70,997)
<b>Total Expenditures</b>	<b>(\$4,880,645)</b>	<b>-</b>	<b>-</b>	<b>\$4,809,648</b>	<b>-</b>	<b>-</b>	<b>(\$70,997)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

# BUDGET NARRATIVE

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## Health Services

### 102 Realignment of Health Services

#### Package Description

##### Purpose

Expand coverage at Oregon State Correctional Institution (OSCI) to provide 24 hour medical coverage and repurpose Unit 13 at OSCI to become a medical infirmary to alleviate some of the pressure on special purpose medical beds at Oregon State Penitentiary (OSP).

A decision was made to consolidate all existing male Mental Health Infirmary (MHI) beds at OSP and to repurpose the current Intensive Management Unit (IMU) to house those MHI beds. The repurposing also creates additional housing beds in the former IMU for the seriously mentally ill to better treat these inmates in an appropriate environment.

Additional steps are being taken to realign and reallocate special populations across the state to accommodate this move. Snake River Correctional Institution (SRCI) is expanding IMU beds and will house all IMU level inmates by year's end. OSCI is converting 80 beds to Disciplinary Segregation Housing (DSU) which will increase demand for on unit medical staffing. Day Treatment units for mentally ill inmates will be added at several locations.

Expanding medical staffing to 24 hour coverage at OSCI will maximize both access to services and cost containment and is critical to the success of realigning beds based on the needs of the inmate population. Once OSCI is able to provide 24 hour coverage, more medically needy inmates can be moved to the valley to afford better access to care.

The Portland/Salem metropolitan areas are prime drivers for this realignment. There is better access to psychiatric services and medical specialty care, more significant discounted hospital rates, and less travel time and impact on transporting inmates (particularly out of state).

##### How Achieved

The Department of Corrections (DOC) lacks sufficient infirmary beds to accommodate male inmates on the west side of the state, where the department is able to take full advantage of less costly contracted medical specialists and more significant discounted rates with hospitals. The easiest and least costly step would be to expand medical staffing coverage to 24 hours at OSCI (necessity for an infirmary level care), and convert one unit to house and care for up to 30 inmate patients with infirmary level recovery needs.

The significance of the proposed staffing package is due to the addition of infirmary level care and 24/7 coverage, and also driven by the addition of segregation beds where services must be provided on the unit. There will be a significant increase in the overall acuity of inmate patients that would be housed at OSCI. This shift in mission requires nursing staff for the current gap in coverage, for

# BUDGET NARRATIVE

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infirmity level care, to care for a more significantly ill population, and for the increased DSU population. The increased illness and infirmity level care would necessitate the use of a mid-level provider (nurse practitioner) and one clerical position for increased records maintenance and appointment scheduling.

## Quantifying Results

This proposal would allow DOC to control some higher cost emergency room (ER) visits by better managing chronic care patients through scheduled provider visits.

## Agency Request Budget

### Staffing Impact

Positions	14
FTE	11.20

### Revenue Source

General Fund	\$ 2,050,923
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## 2013-15 Fiscal Impact

The actions taken in this package will become part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 102 - Realignment of Health Services**

**Cross Reference Name: Health Services**  
**Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	2,050,923	-	-	-	-	-	2,050,923
<b>Total Revenues</b>	<b>\$2,050,923</b>	-	-	-	-	-	<b>\$2,050,923</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	1,100,496	-	-	-	-	-	1,100,496
Shift Differential	25,800	-	-	-	-	-	25,800
All Other Differential	50,160	-	-	-	-	-	50,160
Empl. Rel. Bd. Assessments	574	-	-	-	-	-	574
Public Employees' Retire Cont	229,292	-	-	-	-	-	229,292
Social Security Taxes	89,999	-	-	-	-	-	89,999
Unemployment Assessments	2,118	-	-	-	-	-	2,118
Worker's Comp. Assess. (WCD)	826	-	-	-	-	-	826
Mass Transit Tax	7,059	-	-	-	-	-	7,059
Flexible Benefits	361,152	-	-	-	-	-	361,152
<b>Total Personal Services</b>	<b>\$1,867,476</b>	-	-	-	-	-	<b>\$1,867,476</b>
<b>Services &amp; Supplies</b>							
Instate Travel	16,699	-	-	-	-	-	16,699
Office Expenses	77,168	-	-	-	-	-	77,168
Data Processing	6,507	-	-	-	-	-	6,507
Other Services and Supplies	12,891	-	-	-	-	-	12,891
Expendable Prop 250 - 5000	38,150	-	-	-	-	-	38,150

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 102 - Realignment of Health Services

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Expendable Property	32,032	-	-	-	-	-	32,032
<b>Total Services &amp; Supplies</b>	<b>\$183,447</b>	-	-	-	-	-	<b>\$183,447</b>
<b>Total Expenditures</b>							
Total Expenditures	2,050,923	-	-	-	-	-	2,050,923
<b>Total Expenditures</b>	<b>\$2,050,923</b>	-	-	-	-	-	<b>\$2,050,923</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							14
<b>Total Positions</b>	-	-	-	-	-	-	<b>14</b>
<b>Total FTE</b>							
Total FTE							11.20
<b>Total FTE</b>	-	-	-	-	-	-	<b>11.20</b>

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Essential and Policy Package Fiscal Impact Summary - BPR013

8/18/10 REPORT NO.: PDPFISCAL  
 REPORT: PACKAGE FISCAL IMPACT REPORT  
 AGENCY:29100 DEPT OF CORRECTIONS  
 SUMMARY XREF:010-00-00 Health Services

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2011-13  
 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 102 - Realignment of Health Services

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1100420	AAONC6385 AA	PHARMACY TECHNICIAN 1	1	1.00	24.00	02	2,424.00	58,176 45,986				58,176 45,986
1100421	AAONC6385 AA	PHARMACY TECHNICIAN 1	1	.20	4.80	02	2,424.00	11,635 3,258				11,635 3,258
1100422	AAONC6385 AA	PHARMACY TECHNICIAN 1	1	1.00	24.00	02	2,424.00	58,176 45,986				58,176 45,986
1100423	AAONC6385 AA	PHARMACY TECHNICIAN 1	1	.20	4.80	02	2,424.00	11,635 3,258				11,635 3,258
1100424	AAONC6255 AA	NURSE PRACTITIONER	1	.50	12.00	02	6,251.00	75,012 50,554				75,012 50,554
1100425	AAONC0104 AA	OFFICE SPECIALIST 2	1	1.00	24.00	02	2,727.00	65,448 47,959				65,448 47,959
1100426	AAONC0015 AA	MEDICAL RECORDS SPECIALIST	1	.50	12.00	02	3,115.00	37,380 40,341				37,380 40,341
1100427	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100428	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100429	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100430	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100431	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100432	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	1.00	24.00	02	4,798.00	115,152 61,448				115,152 61,448
1100433	AAONC6214 AA	INSTITUTION REGISTERED NURSE	1	.80	19.20	02	4,798.00	92,122 55,198				92,122 55,198
TOTAL PICS SALARY								1,100,496				1,100,496
TOTAL PICS OPE								661,228				661,228
TOTAL PICS PERSONAL SERVICES =			14	11.20	268.80			1,761,724				1,761,724

# BUDGET NARRATIVE

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## Health Services

### 103 Suicide Prevention – Intake / High Risk Housing

#### Package Description

##### Purpose

Seven day/week mental health coverage is not available in Special Housing Units (SHU includes Disciplinary Segregation, Administrative Segregation, and Intensive Management Programs) where most of the critical mental health, behavioral issues and suicides occur. The National Commission on Correctional Healthcare (NCCHC), the Hayes Suicide Audit Report, and Department of Correction (DOC) Health Services policy state that a mental health assessment and chart review should be completed within the first 24 hours for all inmates placed in SHU. A consistent 50% of all inmates in SHU have been diagnosed with an Axis I or Axis II mental illness. Inmates with current Axis 1 and 2 diagnoses are more likely to decompensate and/or develop mental health symptoms and/or suicidal ideation in the isolated Special Housing environments. DOC has experienced 35 completed suicides between 1997 and June 2010. DOC documented more than 90 suicide attempts resulting in admission to outside community hospital and more than 220 serious self harm events requiring medical treatment between January 1 and December 31, 2009. This represents a significant increase over the 2008 numbers. The 2010 statistics are exceeding 2009.

Accurate and timely mental health assessments (diagnostic assessment, vulnerability risk appraisal, developmental disability testing and medication evaluations) are not being completed by staff within the 14 day NCCHC standard. Accurate and timely assessments provide the front line defense for potential suicide or self-harm. When beds are needed in the intake center by new incoming inmates, existing inmates are moving to receiving institutions before the assessment process is completed. This creates a risk for the receiving facility and the inmate. Undiagnosed mental illness increases the risk for suicide, self-harm, and victimization by other inmates. Seven day/week mental health coverage is currently not provided in the Intake Center.

##### How Achieved

Hire eight Mental Health Specialists for inmates housed in Special Housing at Snake River Correctional Institution (SRCI), Two Rivers Correctional Institution (TRCI), and Oregon State Penitentiary (OSP); Hire four Mental Health Specialists for inmates housed in Special Housing Units at Eastern Oregon Correctional Institution (EOCI), Oregon State Correctional Institution (OSCI), and Coffee Creek Correctional Facility (CCCF). Staff will provide 7 day/week assessments, mental health coverage, case management, on-unit mental/behavioral health staff training/coaching, and provide individual and group treatment for inmates identified as high need or high risk in Special Housing Units where space can be allocated.

Total 12.00 FTE – 7 day/week coverage for sections of 6 major institutions

# BUDGET NARRATIVE

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Hire two Mental Health Specialists for the Intake Center to meet the 14 day assessment standard set forth by the NCCHC, and to provide 7 day/week coverage, case management and treatment for this high risk population of offenders who arrive as “unknowns” with no health records/history and high reluctance to talk to staff about mental health symptoms.

Total 2.00 FTE – 7 day/week mental health coverage at Intake

## Quantifying Results

A suicide prevention audit was requested of Lindsay Hayes (Director, National Center on Institutions and Alternatives; Suicide Prevention Consultant to National Institute of Corrections (NIC) and NCCHC) by DOC in 1999 and again in 2005. Although the department has not yet met all of the standards and recommendations, this would allow the department to provide a mental status assessment within 24 hours of admission, emergent, monthly case management, and treatment for inmates with a severe or highest need/risk mental health diagnosis while they are housed in Special Housing. Approval of the proposal will allow the department to move closer to meeting the recommendation.

## Agency Request Budget

### Staffing Impact

Positions	14
FTE	14.00

### Revenue Source

General Fund	\$ 3,844,547
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## 2013-15 Fiscal Impact

The actions taken in this package will become part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 103 - Suicide Prevention - Intake / High Risk Housing**

**Cross Reference Name: Health Services**  
**Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	3,844,547	-	-	-	-	-	3,844,547
<b>Total Revenues</b>	<b>\$3,844,547</b>	-	-	-	-	-	<b>\$3,844,547</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	1,314,096	-	-	-	-	-	1,314,096
Overtime Payments	45,488	-	-	-	-	-	45,488
Empl. Rel. Bd. Assessments	574	-	-	-	-	-	574
Public Employees' Retire Cont	264,980	-	-	-	-	-	264,980
Social Security Taxes	104,015	-	-	-	-	-	104,015
Unemployment Assessments	2,448	-	-	-	-	-	2,448
Worker's Comp. Assess. (WCD)	826	-	-	-	-	-	826
Mass Transit Tax	3,496	-	-	-	-	-	3,496
Flexible Benefits	421,344	-	-	-	-	-	421,344
<b>Total Personal Services</b>	<b>\$2,157,267</b>	-	-	-	-	-	<b>\$2,157,267</b>
<b>Services &amp; Supplies</b>							
Instate Travel	20,874	-	-	-	-	-	20,874
Office Expenses	96,460	-	-	-	-	-	96,460
Data Processing	8,134	-	-	-	-	-	8,134
Medical Services and Supplies	1,456,000	-	-	-	-	-	1,456,000
Other Services and Supplies	16,114	-	-	-	-	-	16,114
Expendable Prop 250 - 5000	26,936	-	-	-	-	-	26,936

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 Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 103 - Suicide Prevention - Intake / High Risk Housing

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Expendable Property	62,762	-	-	-	-	-	62,762
<b>Total Services &amp; Supplies</b>	<b>\$1,687,280</b>	-	-	-	-	-	<b>\$1,687,280</b>
<b>Total Expenditures</b>							
Total Expenditures	3,844,547	-	-	-	-	-	3,844,547
<b>Total Expenditures</b>	<b>\$3,844,547</b>	-	-	-	-	-	<b>\$3,844,547</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							14
<b>Total Positions</b>	-	-	-	-	-	-	<b>14</b>
<b>Total FTE</b>							
Total FTE							14.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>14.00</b>

PACKAGE: 103 - Suicide Prevention - Intake /

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1100434	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100435	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100436	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100437	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100438	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100439	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100440	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100441	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100442	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100443	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100444	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100445	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100446	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
1100447	AAONC6531	AA MENTAL HEALTH SPECIALIST	1	1.00	24.00	02	3,911.00	93,864 55,671				93,864 55,671
TOTAL PICS SALARY								1,314,096				1,314,096
TOTAL PICS OPE								779,394				779,394
TOTAL PICS PERSONAL SERVICES =			14	14.00	336.00			2,093,490				2,093,490

# BUDGET NARRATIVE

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## Health Services

### 104 Dental Services

#### Package Description

##### Purpose

The Department of Corrections (DOC) does limited preventative dentistry which is inconsistent with the community standard of care. DOC advocates reorganization of the section and added staffing strategically placed in two pilot locations in order to move the department closer to the community standard of care. By managing these clinics differently and adding staff strategically the department can improve the scope of care.

There is a collective shortage of dental clinic space in DOC institutions and it is not reasonable to assume DOC can cost effectively remodel, expand, or otherwise create enough new space to improve efficiency. Because more space is not a reasonable solution, this package reorganizes Dental Services to be more efficient within the current space by adding a limited number of new staff. The new staff will provide an improved level of preventative dental services that will bring DOC closer to the community standard, in an effort to reduce exposure due to gaps in service. Addition of staff, coupled with space limitations, will likely cause DOC to revise clinic schedules to maximize extended hours of care.

##### How Achieved

Maximize the use of existing space. Dental Services needs to restructure the flow of the dental clinics and reallocate the use of staff resources in any given location due to space limitations. Dental Services intends to pilot this community standard of care model in two large clinics. Dental Services needs to: 1) reclassify two Dentists positions into the Supervising Dentist A classification to more strictly manage the workflow in these locations; 2) hire two additional Dental Assistant positions which will improve the efficiency of the Dentists; 3) hire two Support Services Supervisor 2 positions as Dental Office Managers and 4) hire four Dental Hygienists. The DOC Dental Program does not have position authority to hire Dental Hygienists in the current staffing pattern. Absent this critical component of dental care, DOC is unable to implement an effective preventative care model and reduce the likelihood of more extensive and expensive treatments in the future.

By hiring Dental Hygienists, DOC will enhance dental care and be in a much better position to adequately address overall patient dental needs at these locations. Reclassifying key positions and adding additional staffing in areas where it does not currently exist, we expect to gain efficiency as well as provide services we are currently unable to provide but are unquestionably the community standard.

##### Quantifying Results

# BUDGET NARRATIVE

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DOC will provide the full range of services the community standard compels the department to provide and DOC will see and treat an increased number of patients in these clinics that will be a model for future clinic design and operation.

## Agency Request Budget

### Staffing Impact

Positions	8
FTE	8.00

### Revenue Source

General Fund	\$944,938
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### 2013-15 Fiscal Impact

The actions taken in this package will become part of the Base Budget for 2013-15.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of  
Pkg: 104 - Dental Services**

**Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	944,938	-	-	-	-	-	944,938
<b>Total Revenues</b>	<b>\$944,938</b>	-	-	-	-	-	<b>\$944,938</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	448,296	-	-	-	-	-	448,296
Empl. Rel. Bd. Assessments	328	-	-	-	-	-	328
Public Employees' Retire Cont	87,372	-	-	-	-	-	87,372
Social Security Taxes	46,160	-	-	-	-	-	46,160
Unemployment Assessments	1,548	-	-	-	-	-	1,548
Worker's Comp. Assess. (WCD)	472	-	-	-	-	-	472
Mass Transit Tax	(1,014)	-	-	-	-	-	(1,014)
Flexible Benefits	240,768	-	-	-	-	-	240,768
<b>Total Personal Services</b>	<b>\$823,930</b>	-	-	-	-	-	<b>\$823,930</b>
<b>Services &amp; Supplies</b>							
Instate Travel	11,928	-	-	-	-	-	11,928
Office Expenses	55,120	-	-	-	-	-	55,120
Data Processing	4,648	-	-	-	-	-	4,648
Other Services and Supplies	9,208	-	-	-	-	-	9,208
Expendable Prop 250 - 5000	21,800	-	-	-	-	-	21,800
IT Expendable Property	18,304	-	-	-	-	-	18,304
<b>Total Services &amp; Supplies</b>	<b>\$121,008</b>	-	-	-	-	-	<b>\$121,008</b>

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 104 - Dental Services

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	944,938	-	-	-	-	-	944,938
<b>Total Expenditures</b>	<b>\$944,938</b>	-	-	-	-	-	<b>\$944,938</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							8
<b>Total Positions</b>	-	-	-	-	-	-	<b>8</b>
<b>Total FTE</b>							
Total FTE							8.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>8.00</b>

PACKAGE: 104 - Dental Services

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
0100086	AE U7510 AA	DENTIST	1-	.83-	20.00-	05	12,567.00	251,340- 88,569-				251,340- 88,569-
0100086	AE U7510 AA	DENTIST		.17-	4.00-	08	12,567.00	50,268- 17,550-				50,268- 17,550-
0100086	MNSNZ7511 AA	SUPERVISING DENTIST A	1	1.00	24.00	08	7,969.00	191,256 82,103				191,256 82,103
0500021	AE U7510 AA	DENTIST	1-	1.00-	24.00-	05	12,567.00	301,608- 106,119-				301,608- 106,119-
0500021	MNSNZ7511 AA	SUPERVISING DENTIST A	1	1.00	24.00	02	5,957.00	142,968 68,997				142,968 68,997
1100448	CP C6396 AA	DENTAL HYGIENIST	1	1.00	24.00	02	5,154.00	123,696 63,767				123,696 63,767
1100449	MMS X0113 AA	SUPPORT SERVICES SUPERVISOR 2	1	1.00	24.00	02	2,882.00	69,168 48,968				69,168 48,968
1100450	AAONC6391 AA	DENTAL ASSISTANT	1	1.00	24.00	02	2,727.00	65,448 47,959				65,448 47,959
1100451	AAONC6396 AA	DENTAL HYGIENIST	1	1.00	24.00	02	4,505.00	108,120 59,539				108,120 59,539
1100452	AAONC6391 AA	DENTAL ASSISTANT	1	1.00	24.00	02	2,727.00	65,448 47,959				65,448 47,959
1100453	AAONC6396 AA	DENTAL HYGIENIST	1	1.00	24.00	02	4,505.00	108,120 59,539				108,120 59,539
1100454	AAONC6396 AA	DENTAL HYGIENIST	1	1.00	24.00	02	4,505.00	108,120 59,539				108,120 59,539
1100455	MMS X0113 AA	SUPPORT SERVICES SUPERVISOR 2	1	1.00	24.00	02	2,882.00	69,168 48,968				69,168 48,968
TOTAL PICS SALARY								448,296				448,296
TOTAL PICS OPE								375,100				375,100
TOTAL PICS PERSONAL SERVICES =			8	8.00	192.00			823,396				823,396

# BUDGET NARRATIVE

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## Health Services

### 451 PPDB Clean-Up

#### Package Description

##### Purpose

The Department of Corrections (DOC) has many positions that did not get allocated appropriately through Department of Administrative Services (DAS) Class Studies. Either the positions were missed in the class study or the allocation was appealed and the position was not fixed in the Position Personnel Data Base (PPDB).

The purpose of this package is to permanently correct the position classifications and associated funding of positions that were included in DAS Class Studies. Currently the agency has nineteen positions that are not correctly classified and are permanently underfunded. Two possible scenarios exist as to why the positions are not appropriately classified or funded:

Original identification of positions for a class study- DAS and an agency coordinate on all affected positions in class studies. In some cases at DOC, vacant positions were not included in the position lists provided to DAS or positions included within essential or policy packages were requested at the same time class study was occurring.

Employee Appeal of DAS Allocation- In some cases after position allocation, the employee or their respective bargaining unit appeal the DAS allocation. In these cases, DAS reviews the appeal and a determination is made. In DOC's case, after the appeals were approved, further coordination with DAS Budget and Management to update the positions did not happen.

Health Services has three Supply Specialists that were misallocated in the original class study that need to be cleaned up.

##### How Achieved

If the policy option package is approved, the funding and classifications of these positions will align with the current rates and classes at which employees are being compensated.

##### Quantifying Results

The results will insure the agency is appropriately funded for the body of work that is being performed. Currently the agency is compensating employees at a higher rate than the positions are funded. This clean-up will also allow for the position side and the person side of PPDB to match.



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 451 - PPDB Clean-Up

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	30,943	-	-	-	-	-	30,943
<b>Total Revenues</b>	<b>\$30,943</b>	-	-	-	-	-	<b>\$30,943</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	24,264	-	-	-	-	-	24,264
Public Employees' Retire Cont	4,731	-	-	-	-	-	4,731
Social Security Taxes	1,854	-	-	-	-	-	1,854
Unemployment Assessments	45	-	-	-	-	-	45
Mass Transit Tax	49	-	-	-	-	-	49
<b>Total Personal Services</b>	<b>\$30,943</b>	-	-	-	-	-	<b>\$30,943</b>
<b>Total Expenditures</b>							
Total Expenditures	30,943	-	-	-	-	-	30,943
<b>Total Expenditures</b>	<b>\$30,943</b>	-	-	-	-	-	<b>\$30,943</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

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Essential and Policy Package Fiscal Impact Summary - BPR013

8/18/10 REPORT NO.: PDPFISCAL  
 REPORT: PACKAGE FISCAL IMPACT REPORT  
 AGENCY:29100 DEPT OF CORRECTIONS  
 SUMMARY XREF:010-00-00 Health Services

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2011-13  
 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 451 - PPDB Clean-Up

POSITION NUMBER	CLASS	COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
8700519	AAONC0758	AA	SUPPLY SPECIALIST 1	1-	1.00-	24.00-	08	3,411.00	81,864- 52,414-				81,864- 52,414-
8700519	AAONC0759	AA	SUPPLY SPECIALIST 2	1	1.00	24.00	04	3,748.00	89,952 54,609				89,952 54,609
9702527	AAONC0758	AA	SUPPLY SPECIALIST 1	1-	1.00-	24.00-	08	3,411.00	81,864- 52,414-				81,864- 52,414-
9702527	AAONC0759	AA	SUPPLY SPECIALIST 2	1	1.00	24.00	04	3,748.00	89,952 54,609				89,952 54,609
9702621	AAONC0758	AA	SUPPLY SPECIALIST 1	1-	1.00-	24.00-	08	3,411.00	81,864- 52,414-				81,864- 52,414-
9702621	AAONC0759	AA	SUPPLY SPECIALIST 2	1	1.00	24.00	04	3,748.00	89,952 54,609				89,952 54,609
TOTAL PICS SALARY									24,264				24,264
TOTAL PICS OPE									6,585				6,585
TOTAL PICS PERSONAL SERVICES =					---	-----	-----		-----	-----	-----	-----	-----
					.00	.00			30,849				30,849

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Corrections, Dept of  
2011-13 Biennium

Agency Number: 29100  
Cross Reference Number: 29100-010-00-00-00000

<i>Source</i>	2007-09 Actuals	2009-11 Leg Adopted Budget	2009-11 Leg Approved Budget	2011-13 Agency Request Budget	2011-13 Governor's Rec. Budget	2011-13 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	73,135	-	-	-	-	-
Admin and Service Charges	135	-	-	-	-	-
Fines and Forfeitures	184,046	268,004	268,004	136,477	-	-
Rents and Royalties	209	-	-	-	-	-
Sales Income	94,624	74,472	74,472	15,313	-	-
Donations	114	-	-	-	-	-
Other Revenues	603,433	-	-	335,433	-	-
Transfer In - Intrafund	1,763,561	-	-	-	-	-
Transfer Out - Intrafund	(1,763,561)	-	-	-	-	-
<b>Total Other Funds</b>	<b>\$955,696</b>	<b>\$342,476</b>	<b>\$342,476</b>	<b>\$487,223</b>	-	-
<b>Federal Funds</b>						
Federal Funds	6,405,825	-	1,191,400	6,001,048	-	-
<b>Total Federal Funds</b>	<b>\$6,405,825</b>	-	<b>\$1,191,400</b>	<b>\$6,001,048</b>	-	-

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Source	Fund	ORBITS Revenue Acct	2007-2009 Actual	2009-11 Legislatively Adopted	2009-11 Estimated	2011-13		
						Agency Request	Governor's Recommended	Legislatively Adopted
Charges for Services	Other	0410	73,135	0	120	0		
Admin and Service Charges	Other	0415	135	0	0	0		
Charges to inmates for restitution of specific medical services.	Other	0505	184,046	268,004	212,814	136,477		
Rents and Royalties	Other	0510	209	0	0	0		
Sales income from inmates purchasing personal medical property.	Other	0705	94,624	74,472	15,504	15,313		
Donations	Other	0905	114	0	0	0		
Other Income	Other	0975	603,433	0	549,886	335,433		
Movement of revenues between organizational units.	Other	1010	1,763,561	0	0	0		
Movement of revenues between organizational units.	Other	2010	(1,763,561)	0	0	0		
State Criminal Alien Assistance Program (SCAAP) grant.	Federal	0995	6,405,825	0	5,888,321	6,001,048		

Program Unit Appropriated Fund Group and Category Summary  
 2011-13 Biennium  
 Health Services

Version: V - 01 - Agency Request Budget  
 Cross Reference Number: 29100-010-00-00-00000

Description	2007-09 Actuals	2009-11 Leg Adopted Budget	2009-11 Leg Approved Budget	2011-13 Agency Request Budget	2011-13 Governor's Rec. Budget	2011-13 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
General Fund	85,695,285	103,686,430	103,686,430	126,567,267	-	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	72,689,059	88,361,455	88,361,455	88,361,455	-	-
Other Funds	394,874	520,070	520,070	520,070	-	-
Federal Funds	6,405,825	-	1,191,400	1,191,400	-	-
All Funds	79,489,758	88,881,525	90,072,925	90,072,925	-	-
<b>CAPITAL OUTLAY</b>						
General Fund	255,769	27,876	27,876	27,876	-	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
General Fund	158,640,113	192,075,761	192,075,761	214,956,598	-	-
Other Funds	394,874	520,070	520,070	520,070	-	-
Federal Funds	6,405,825	-	1,191,400	1,191,400	-	-
All Funds	165,440,812	192,595,831	193,787,231	216,668,068	-	-
<b>AUTHORIZED POSITIONS</b>	550	603	603	601	-	-
<b>AUTHORIZED FTE</b>	517.83	556.06	556.06	579.18	-	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	771,849	-	-

**Program Unit Appropriated Fund Group and Category Summary  
2011-13 Biennium  
Health Services**

**Version: V - 01 - Agency Request Budget  
Cross Reference Number: 29100-010-00-00-00000**

Description	2007-09 Actuals	2009-11 Leg Adopted Budget	2009-11 Leg Approved Budget	2011-13 Agency Request Budget	2011-13 Governor's Rec. Budget	2011-13 Leg Adopted Budget
<b>021 PHASE-IN</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	5,977,281	-	-
<b>CAPITAL OUTLAY</b>						
General Fund	-	-	-	44,883	-	-
<b>022 PHASE-OUT PGM &amp; ONE-TIME COSTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	(350,594)	-	-
<b>CAPITAL OUTLAY</b>						
General Fund	-	-	-	(71,707)	-	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	2,114,808	-	-
<b>CAPITAL OUTLAY</b>						
General Fund	-	-	-	(1,052)	-	-
<b>032 ABOVE STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	1,261,858	-	-
<b>033 EXCEPTIONAL INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	8,175,165	-	-

**Program Unit Appropriated Fund Group and Category Summary  
2011-13 Biennium  
Health Services**

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<b>Description</b>	<b>2007-09 Actuals</b>	<b>2009-11 Leg Adopted Budget</b>	<b>2009-11 Leg Approved Budget</b>	<b>2011-13 Agency Request Budget</b>	<b>2011-13 Governor's Rec. Budget</b>	<b>2011-13 Leg Adopted Budget</b>
<b>040 MANDATED CASELOAD</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	3,547,605	-	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	746,122	-	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	40	-	-
<b>AUTHORIZED FTE</b>	-	-	-	19.03	-	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	(4,880,645)	-	-
Federal Funds	-	-	-	4,809,648	-	-
All Funds	-	-	-	(70,997)	-	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
General Fund	-	-	-	17,335,573	-	-
Federal Funds	-	-	-	4,809,648	-	-
All Funds	-	-	-	22,145,221	-	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	40	-	-
<b>AUTHORIZED FTE</b>	-	-	-	19.03	-	-
<b>LIMITED BUDGET (Current Service Level)</b>						
General Fund	158,640,113	192,075,761	192,075,761	232,292,171	-	-
Other Funds	394,874	520,070	520,070	520,070	-	-

Program Unit Appropriated Fund Group and Category Summary  
 2011-13 Biennium  
 Health Services

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Description	2007-09 Actuals	2009-11 Leg Adopted Budget	2009-11 Leg Approved Budget	2011-13 Agency Request Budget	2011-13 Governor's Rec. Budget	2011-13 Leg Adopted Budget
Federal Funds	6,405,825	-	1,191,400	6,001,048	-	-
All Funds	165,440,812	192,595,831	193,787,231	238,813,289	-	-
<b>AUTHORIZED POSITIONS</b>	550	603	603	641	-	-
<b>AUTHORIZED FTE</b>	517.83	556.06	556.06	598.21	-	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>102 REALIGNMENT OF HEALTH SERVICES</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	1,867,476	-	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	183,447	-	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	14	-	-
<b>AUTHORIZED FTE</b>	-	-	-	11.20	-	-
<b>103 SUICIDE PREVENTION - INTAKE / HIGH RISK HOI</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	2,157,267	-	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	1,687,280	-	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	14	-	-
<b>AUTHORIZED FTE</b>	-	-	-	14.00	-	-
<b>104 DENTAL SERVICES</b>						

Program Unit Appropriated Fund Group and Category Summary  
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 Health Services

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Description	2007-09 Actuals	2009-11 Leg Adopted Budget	2009-11 Leg Approved Budget	2011-13 Agency Request Budget	2011-13 Governor's Rec. Budget	2011-13 Leg Adopted Budget
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	823,930	-	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	121,008	-	-
<b>AUTHORIZED POSITIONS</b>						
AUTHORIZED FTE	-	-	-	8.00	-	-
<b>451 PPDB CLEAN-UP</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	30,943	-	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
General Fund	-	-	-	6,871,351	-	-
<b>AUTHORIZED POSITIONS</b>						
AUTHORIZED FTE	-	-	-	33.20	-	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
General Fund	158,640,113	192,075,761	192,075,761	239,163,522	-	-
Other Funds	394,874	520,070	520,070	520,070	-	-
Federal Funds	6,405,825	-	1,191,400	6,001,048	-	-
All Funds	165,440,812	192,595,831	193,787,231	245,684,640	-	-
<b>AUTHORIZED POSITIONS</b>						
AUTHORIZED FTE	517.83	556.06	556.06	631.41	-	-
<b>OPERATING BUDGET</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2011-13 Biennium  
 Health Services

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Description	2007-09 Actuals	2009-11 Leg Adopted Budget	2009-11 Leg Approved Budget	2011-13 Agency Request Budget	2011-13 Governor's Rec. Budget	2011-13 Leg Adopted Budget
General Fund	158,640,113	192,075,761	192,075,761	239,163,522	-	-
Other Funds	394,874	520,070	520,070	520,070	-	-
Federal Funds	6,405,825	-	1,191,400	6,001,048	-	-
All Funds	165,440,812	192,595,831	193,787,231	245,684,640	-	-
<b>AUTHORIZED POSITIONS</b>	550	603	603	677	-	-
<b>AUTHORIZED FTE</b>	517.83	556.06	556.06	631.41	-	-
<b>TOTAL BUDGET</b>						
General Fund	158,640,113	192,075,761	192,075,761	239,163,522	-	-
Other Funds	394,874	520,070	520,070	520,070	-	-
Federal Funds	6,405,825	-	1,191,400	6,001,048	-	-
All Funds	165,440,812	192,595,831	193,787,231	245,684,640	-	-
<b>AUTHORIZED POSITIONS</b>	550	603	603	677	-	-
<b>AUTHORIZED FTE</b>	517.83	556.06	556.06	631.41	-	-